



Democratic Republic of São Tomé and Príncipe
AFE Health Emergency Preparedness, Response
and Resilience Project(P180127) Under the AFE
Health Emergency Preparedness, Response and Resilience
MPA

Draft
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)

July 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Democratic Republic of São Tomé and Príncipe (the Recipient) will implement the AFE Health Emergency Preparedness, Response and Resilience Project (the Project) under the AFE Health Emergency Preparedness, Response and Resilience MPA, with the involvement of the Ministry of Health, Work and Social Affairs (MoHWSA) through the Fiduciary Agency for Project Management (AFAP), and a Project Implementation Unit (PIU) as set out in the Financing Agreement. The International Development Association (the Association), has agreed to provide financing for the Project, as set out in the referred agreement(s).
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the MoHWSA, and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the General Director of AFAP. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit quarterly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>PIU (AFAP/MoHWSA)</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association</p>	<p>PIU (AFAP/MoHWSA)</p>
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association.</p>	<p>Submit the monthly reports to the Association [</p>	<p>PIU (AFAP/MoHWSA)</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a PIU with qualified staff and resources to support management of ESHS risks and impacts of the Project, including recruitment of one environmental specialist and one social specialist.</p>	<p>Establish and maintain a PIU as set out in the Financing Agreement.</p>	<p>PIU (AFAP/MoHWSA)</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	<p>One Environmental Specialist and one Social Specialist shall be hired or appointed 60 days after the Effective Date, and thereafter maintain these positions throughout Project implementation.</p>	
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>1. Prepare, consult on, disclose, adopt and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs, including the following annexes:</p> <ul style="list-style-type: none"> i. Labor Management Procedure) including a workers’ Grievance Mechanism (GM) and Codes of Conduct and other mitigation measures to address Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) risks ii. Waste Management Plan, iii. Assessment and Mitigation Action Plan for Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) risks <p>2. If required as per the environmental and social screening in the ESMF, prepare, consult on, disclose, adopt, and implement specific ESMPs.</p> <p>3. The proposed activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p> <ul style="list-style-type: none"> - Type-1 Technical Assistance activities (in accordance with the OESRC Guidance Note of 21/05/2019) - Activities that result in long-term, permanent and/or irreversible negative impacts (e.g. loss of important natural habitats); - Activities that lead to the transformation or degradation of critical natural habitats, which may result in the loss of biodiversity, in areas of integral preservation of the Obô National Park of São Tomé and Obô Natural Park of Príncipe or other areas with wet forest or mangrove forest. - Activities that have a high probability of causing serious adverse effects on human health and/or the environment (i.e. risk of environmental contamination) - Activities that result in long-term, permanent and/or irreversible negative impacts (e.g. loss of important natural habitats); 	<p>1. Disclose the ESMF prior to Appraisal Date, adopt it by Effectiveness and thereafter implement the ESMF throughout Project implementation.</p> <p>2. Prepare, consult on, disclose, adopt specific required ESMPs before launching the bidding process for the relevant Project activities, prior to the carrying out activities, and thereafter implement the respective ESMP throughout Project implementation.</p>	<p>PIU (AFAP/MoHWSA)</p>

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<ul style="list-style-type: none"> - Activities that involve felling of trees or clearing of natural vegetation. - Activities that have significant risks and/or adverse impacts on sensitive cultural receptors or that could damage non-replicable cultural property - Activities that have significant risks and/or adverse impacts on sensitive cultural receptors or that could damage non-replicable cultural property - Activities that could have significant adverse social impacts and give rise to significant social conflict between communities -Activities that cause or lead to child abuse, exploitative child labor, forced labor or human trafficking. -Activities that affect lands held or claimed by historically disadvantaged local communities and/or indigenous peoples without the free, prior, full and documented informed consent of those communities 		
<p>1.3 MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, as the Labor Management Procedures, and Code of Conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation.</p>	<p>PIU (AFAP/ MoHWSA)</p>
<p>1.4 TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>PIU (AFAP/ MoHWSA)</p>
<p>1.5 CONTINGENT EMERGENCY RESPONSE FINANCING</p> <p>a) Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements for the implementation of the CERC component in accordance with the ESSs.</p> <p>b) Prepare, consult on, disclose and adopt any environmental and social (E&S) instruments which may be required for activities under CERC component of the Project, in accordance with the CERC Manual and the ESSs, and thereafter implement the</p>	<p>a) The adoption of the CERC Manual and, if applicable, other instruments, in form and substance acceptable to the Association before disbursement for the CERC component.</p>	<p>PIU (AFAP/ MoHWSA)</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments a	b) Prepare, consult on, disclose, adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	
1.6			
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Prepare, consult on, disclose, adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Prepare, consult on, disclose, adopt the LMP (included in the ESMF) on timeframe specified for the ESMF, and thereafter implement the LMP throughout Project implementation.	PIU (AFAP/ MoHWSA)
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PIU (AFAP/ MoHWSA)
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Prepare, consult on, disclose, adopt and implement a Waste Management Plan (WMP), as part of the ESMF, to manage hazardous and non-hazardous wastes, consistent with ESS3.	Prepare, consult on, disclose, adopt the WMP (included in the ESMF) on timeframe specified for the ESMF, and thereafter implement the WMP throughout Project implementation.	PIU (AFAP/ MoHWSA)
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures included in the ESMF and in any specific ESMP to be prepared.	Adopt the management measures on timeframe specified for the ESMF and ESMPs, and thereafter implement throughout Project implementation.	PIU (AFAP/ MoHWSA)
ESS 4: COMMUNITY HEALTH AND SAFETY			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
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4.1	<p>SEA AND SH RISKS</p> <p>Prepare, consult on, disclose, adopt and implement a SEA/SH Action Plan, as part of the ESMF, to assess and manage the risks of SEA and SH.</p>	Prepare, consult on, disclose, adopt the SEA/SH Action Plan included in the ESMF on timeframe specified for the ESMF, and thereafter implement the SEA/SH Action Plan throughout Project implementation.	PIU (AFAP/ MoHWSA)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY			
NOT RELEVANT			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
NOT RELEVANT			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES [See examples of possible actions below that can be used if determined that ESS7 is relevant, as set out in paragraph 54 of the E&S Policy and paragraphs 8-10 of ESS7].			
NOT RELEVANT			
ESS 8: CULTURAL HERITAGE [the relevance of ESS8 is established during the ESA process. As with other ESSs, ESS8 may require the adoption of specific measures that may be set out in an E&S instrument (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone instrument or a separate measure or action. See examples below].			
NOT RELEVANT			
ESS 9: FINANCIAL INTERMEDIARIES			
NOT RELEVANT			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Prepare, consult on, disclose, adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	Prepare, consult on, disclose, the SEP by Appraisal Date, and adopt by Effectiveness and thereafter implement the SEP throughout Project implementation.	PIU (AFAP/ MoHWSA)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism (GM), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Adapt already-established Health Sector GM to the Project, adopt by Effectiveness Date and thereafter implement throughout Project implementation.	PIU (AFAP/ MoHWSA)
CAPACITY SUPPORT			
CS1	<p>Training shall be required and delivered for PIU staff, stakeholders, communities, Project workers on:</p> <ul style="list-style-type: none"> • World Bank Group environmental and social policy and guidelines relevant to the Project • Project Environmental and Social Instruments (ESCP, ESMF and SEP) • General Environmental and Social Management Plan for civil works • Environmental and social management procedures for any relevant Project activities • Labour management procedures, including occupational health and safety • GBV/ SEA/SH Risk Mitigation Action Plan • Grievance Redress Mechanism • Solid Waste Management • Hospital Solid Waste Management 	No later than 30 days of the Effective Date and periodically with the addition of new Project team members who join the Project, throughout implementation.	PIU (AFAP/ MoHWSA)
CS2	<p>Training shall be required and delivered for Project workers on:</p> <ul style="list-style-type: none"> • Labour management procedures, including occupational health and safety • GBV/ SEA/SH Risk Mitigation Action Plan • Grievance Redress Mechanism • Emergency Prevention and preparedness • Response arrangements to emergency situations 	Before starting construction activities	PIU